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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Docket No. 12778US03)

In the Application of:

Saliba

Serial No.: 10/786,196

Filed: February 25, 2004

For: Method and Apparatus for Stock and Index
Option Price Improvement, Participation, and
Internalization

Examiner: Jagdish N. Patel

Group Art Unit: 3624

CERTIFICATE OF MAILING

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Joseph M. Butscher
Joseph M. Butscher
Reg. No. 48,326

Date: FEB 9, 2006

TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

The Applicant is re-transmitting the Information Disclosure Statement (IDS) filed on September 9, 2004. A review of the Public PAIR system does not show that this IDS was filed on that date. The Applicant, however, has also enclosed the returned postcard from the PTO indicating that the PTO did, in fact, receive this IDS on September 13, 2004. The Applicant notes that the PTO erroneously filed this IDS in Application Serial No. 10/787,196, instead of the present application.

Date: Feb 9, 2006

Respectfully submitted,

Joseph M. Butscher
Joseph M. Butscher
Reg. No. 48,326
Attorney for Applicant



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Sir: Please place the USPTO receipt stamp hereon and place in outgoing mail to acknowledge receipt of:

Docket No: 12778US03
Applicant: SALIBA
Serial No.: 10/786,196
Filed: 2-25-04

☐ Transmittal
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☐ Patent Application including:
☐ Page(s) Cover Sheet
☐ Page(s) Specification
☐ Page(s) Claims #
☐ Page(s) Abstract
☐ Page(s) Drawings Formal Informal
☐ Response to Notice of Missing Parts
☐ Declaration & POA Signed Unsigned
☐ Amendment Page(s)
☐ Assignment Page(s) Cover Sheet Page(s)
☒ IDS with PTO-1449 3 Page(s)
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Respectfully: **McANDREWS, HELD & MALLOY, LTD.**

Attorneys for Applicant

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Docket No: 12778US03
Applicant: SALIBA
Serial No.: 10/786,196
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☐ Page(s) Specification
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☐ Page(s) Abstract
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☐ Cited References
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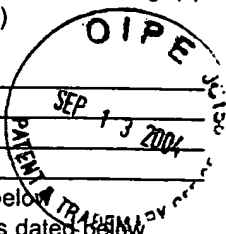
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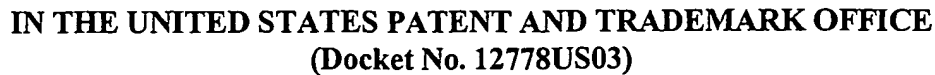
Respectfully: **McANDREWS, HELD & MALLOY, LTD.**

Attorneys for Applicant

Joseph M. Butcher (MG)



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Group Art Unit: 3624

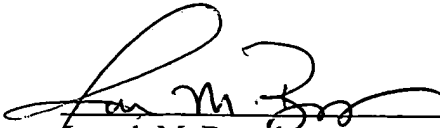
Date: September 9, 2004

Consideration of the references in the examination of the present patent application is respectfully requested.

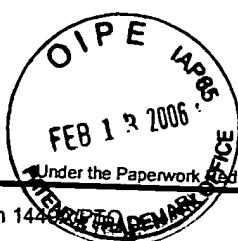
No fee is believed to be due because it is believed that this statement and enclosures are being filed before the first Office Action on the merits has been mailed by the PTO. Please charge any fees due in connection with this statement to the deposit account of McAndrews, Held & Malloy, Ltd., Account No. 13-0017.

Respectfully submitted,

Date: September 9, 2004



Joseph M. Butscher
Reg. No. 48,326



PTO/SB/08A (08-03)
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449 (Rev. 7-97)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/786,196
Filing Date	February 25, 2004
First Named Inventor	Saliba
Group Art Unit	3624
Examiner Name	Patel
Attorney Docket Number	12778US03

U.S. PATENT DOCUMENTS

Examiner Initial*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	6,766,304	07-20-2004	Kemp, II et al.	
	A2	6,772,132	08-03-2004	Kemp, II et al.	
	A3	2003/0023542	01-30-2003	Kemp, II et al.	
	A4				
	A5				
	A6				
	A7				
	A8				
	A9				
	A10				
	A11				
	A12				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	A13					
	A14					
	A15					
	A16					
	A17					

OTHER ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
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Rev. Sept. 03

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